

IDS #5

Form PTO-1449 (REV. 8-83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. KT-001AX		APPLICATION NO. PAPER #5	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)					APPLICANT: James D. Schlick, et al.			
					FILING DATE HEREWITH		GROUP	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*
<i>Den</i>	*	5,237,497	08/17/93	Sitarski	705 395	8 208	
<i>Den</i>	*	5,321,605	06/14/94	Chapman, et al.	705 364	7 402	
<i>Den</i>	*	5,331,545	07/19/94	Yajima, et al.	705 364	8 401	
<i>Den</i>	*	5,521,814	03/28/96	Teran, et al.	700 364	260 402	
<i>Den</i>	*	5,521,815	05/28/96	Rose, Jr.	705 364	28 409	
<i>Den</i>	*	5,675,745	10/07/97	Oku, et al.	705 395	7 207	
<i>Den</i>	*	5,737,727	04/07/98	Lehmann, et al.	705	7	
<i>Den</i>	*	5,937,389	08/10/99	Maxwell	705	10	WITHDRAWN

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)	
<i>Den</i>	<input checked="" type="checkbox"/> Kepner Tregoe, Problem Solving & Decision Making, Workshop Concept Briefings in Microsoft PowerPoint®, Copyright 1996, Kepner-Tregoe, Inc. <i>Printout of floppy disk contents.</i>
<i>Den</i>	<input checked="" type="checkbox"/> Kepner Tregoe, Problem Solving & Decision Making, Instructor Outline, Copyright 1996 by Kepner-Tregoe.
<i>Den</i>	<input checked="" type="checkbox"/> Kepner Tregoe, Problem Solving & Decision Making, Instructor Manual, Copyright 1965 by Kepner-Tregoe.
<i>Den</i>	<input checked="" type="checkbox"/> Kepner-Tregoe, Participant's Guide, Copyright 1996 by Kepner-Tregoe
<i>Den</i>	<input checked="" type="checkbox"/> Kepner-Tregoe, "Effective Questioning" Presentation Chart, Copyright 1996 by Kepner-Tregoe
<i>Den</i>	<input checked="" type="checkbox"/> RMC, Ltd., "Problem Solving & Decision Making User's Guide"

EXAMINER <i>Roberts</i>	DATE CONSIDERED 5/13/02
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*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Copies of references cited can be found in parent case application serial number 09/347,238

Page 1 of 1

IDS
#11

Form PTO-1449 (REV. 8-83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. KT-001AX		APPLICATION NO. 09/493,783 ✓	
<div style="text-align: center;">SUPPLEMENTAL INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i></div> <div style="position: absolute; left: -100px; top: 50px;"></div>							
				APPLICANT: James D. Schlick et al			
				FILING DATE January 28, 2000		GROUP 2768	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
OTHER DOCUMENTS <i>(including Author, Title, Date, Pertinent Pages, etc.)</i>							
<i>Dcn</i>		Executive Development, Inc., print-outs of on-screen worksheets from Decision Focus software, date unknown.					
<i>Dcn</i>		Apian Software, Inc., DECISION PAD User Guide, Rev.2.0, October 1991.					
EXAMINER		<i>[Signature]</i>			DATE CONSIDERED <i>5/14/02</i>		
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE JUL 30 2001 Protest under 37 CFR 1.291(a) (use several sheets if necessary)	ATTY DOCKET NO. unknown	APPLICATION NO. unknown
	APPLICANT James D. Schlick	
	FILING DATE 01/28/2000 unknown	GROUP ART UNIT 2163

Protestor is filing a Protest under 37 CFR 1.291(a) for a US non-provisional application filed by James D. Schlick, assigned to Kepner-Tregoe, Inc., which claims priority of US provisional applications 60/091,476, filed July 2, 1998, entitled ELECTRONIC TOOL, and 60/133,746, filed May 12, 1999, entitled ELECTRONIC TOOL. The Protest is being filed herewith. The case is in front of Examiner Kyle Chol.

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Inventor Name	Class	Sub-class	Filing Date (if appropriate)
						RECEIVED
						AUG 01 2001

Technology Center 2100

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Sub-class	Translation
						No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	Document Description
	Decision Focus Software, version 1.0, copyrighted 1995 (NO DISTINCT PAPERS SUBMITTED)
DCR	Decision Focus Software, version 1.0 User's Guide, copyrighted 1995
DCR	Figures 1-24, which are screen shots from Decision Focus Software, version 1.0
DCR	Decision Focus Software Network Version 1.0 User's Guide, copyrighted 1995
DCR	Excerpt from "3M Stemwinder," published May 10, 1995
DCR	1996 ASTD Buyer's Guide & Consultant Directory, published November 1995
DCR	Kepner-Tregoe, Inc. v. Executive Development, Inc., Civ. No. 97-CV-3473 (D. N.J. 1999), p. 4, App. 0006

EXAMINER D. Robak	DATE CONSIDERED 5/4/02
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AUG 14 2001

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